

# REQUEST FOR PROPOSALS

Grand Challenges Canada at the *McLaughlin-Rotman Centre for Global Health*  
MaRS Centre, South Tower, 101 College Street, Suite 406, Toronto, Ontario, Canada M5G 1L7  
T 416.673.6567 F 416.978.6826 E stars@grandchallenges.ca



Grand Challenges Canada™  
Grands Défis Canada<sup>MC</sup>

**BOLD IDEAS FOR HUMANITY.™**

---

## **Canada's Rising Stars in Global Health Round 3**

January 2012



## Table of Contents

<b>1. INTRODUCTION .....</b>	<b>2</b>
1.1 Grand Challenges Canada .....	2
1.2 Background .....	3
1.3 Program Goal and Key Objectives .....	4
<b>2. APPROACH .....</b>	<b>5</b>
2.1 Program Scope .....	5
2.2 Size and Length of Grants .....	5
<b>3. ACTIVITIES AND DELIVERABLES .....</b>	<b>7</b>
<b>4. RULES AND GUIDELINES .....</b>	<b>8</b>
4.1 Eligibility Criteria .....	8
4.1.1 Co-investigator Requirements .....	8
4.2 Application Instructions .....	8
4.3 Review Process .....	9
4.4 Application Schedule .....	9
4.5 Evaluation Criteria .....	9
4.6 Allowable Costs .....	10
4.7 Privacy Notice .....	10
4.8 Warranty .....	11
4.9 Intellectual Property .....	11
<b>5. RESEARCH ASSURANCES .....</b>	<b>13</b>

## 1. Introduction

*“With funding and support, Grand Challenges Canada will enable Canadian and developing-world scientists to introduce innovation and solve persistent global health problems.”*

A decade into the new millennium, Canada, a young but determined country, earned its place on the world stage. It started in the January cold of 2010 when enthusiastic Canadians ran across the country with the Olympic flag and, on the way, found a new pride in their country. Later that year, Canada became the first country to take a grand challenges approach to its foreign aid. And Canada led the new focus on improving women’s and children’s health. So many accomplishments and so much pride in our country!

Building on this new pride, Grand Challenges Canada began inviting Canadian innovators in 2010 to bring their knowledge, their inventiveness and their unparalleled energy to the issues of global health. In 2012, we continue to seek out and support bold ideas from our country’s next generation of leaders in global health.

### 1.1 GRAND CHALLENGES CANADA

In the 2008 Federal Budget, the Government of Canada announced the creation of the Development Innovation Fund to:

*“Support the best minds in the world as they search for breakthroughs in global health and other areas that have the potential to bring about enduring changes in the lives of millions of people in poor countries.”*

*“For example, new vaccines and cures could save millions of lives lost to tropical diseases. Higher-yield, drought-resistant crops could prevent future famines. And lower-emission energy sources could power industrial development and job creation with a minimal carbon footprint.”*

Grand Challenges Canada, a Canadian not-for-profit organization, is the vehicle to deliver the Development Innovation Fund, in a consortium with the International Development Research Centre (a Canadian Crown corporation) and the Canadian Institutes of Health Research (a Government of Canada agency). Grand Challenges Canada is hosted by the McLaughlin-Rotman Centre for Global Health (University Health Network and University of Toronto).

The **vision** of Grand Challenges Canada is to develop a consortium of world-leading Canadian and international scientists, research organizations, and leaders from the business sector, to develop breakthrough solutions to global challenges and ensure that these solutions are available to those who need them the most.

Grand Challenges Canada’s **mission** is to identify global grand challenges, fund a global community of researchers and related institutions on a competitive basis to

address them, and support the implementation/commercialization of the solutions that emerge.

Grand Challenges Canada's core **values** include scientific excellence, collaboration and partnership, and accountability for results.

Grand Challenges Canada adopts an Integrated Innovation approach to its work. Integrated Innovation is the coordinated application of scientific/technological, social and business innovation to develop solutions to complex challenges. This approach does not discount the singular benefits of each of these types of innovation alone, but rather highlights the powerful synergies that can be realized by aligning all three. Integrated Innovation recognizes that scientific/technological innovations have a greater chance of going to scale and achieving global impact and sustainability if they are developed from the outset with appropriate social and business innovations. (Please see <http://www.grandchallenges.ca/integrated-innovation/>)

Grand Challenges Canada is funded by Canada's international development assistance envelope. Canada is the first country to take a grand challenges approach to global health innovation through foreign aid.

## 1.2 BACKGROUND

Massive inequalities in health exist across the globe. The urgency to address these health disparities was reflected in the eight goals for development agreed upon by United Nations member states in the Millennium Declaration. Four of the Millennium Development Goals (MDGs) directly apply to health: MDG 1 is to eradicate extreme poverty and hunger, MDG 4 is to reduce childhood mortality, MDG 5 is to improve maternal health, and MDG 6 is to combat HIV/AIDS, malaria, tuberculosis and other diseases.

Yet even after more than a decade of focus on these goals, intolerable inequities in health between high- and low-income areas in the world persist. For example, malnutrition in children is over 10 times more prevalent in developing countries than developed countries, a newborn is over 35 times more likely to die in the first month of life in the developing world than in developed countries, a woman in a low-income region is 50 times more likely to die in pregnancy and childbirth than a woman in a high-income region, and tuberculosis is still over 100 times more prevalent in sub-Saharan Africa than it is in North America.

Canada has the resources, the educated population, the creativity, and the science and business acumen to build upon its legacy of excellence in an integrated way to show bold leadership that can have a major impact on global health.

The tremendous skills, knowledge and innovation of Canadians mean we can boldly harness an opportunity to address these global health challenges. In an effort to promote the burgeoning leadership role Canada has in global health, we have developed this "*Canada's Rising Stars in Global Health*" program to support bold ideas

from the best and brightest of our young Canadian talent who will use scientific/technical, social and business innovation to address these most pressing global health problems.

### **1.3 PROGRAM GOAL AND KEY OBJECTIVES**

We are seeking early career innovators in global health. This program recognizes the difficulties of early career innovators in securing research funding generally, and particularly in global health.

**The goal of this Request for Proposals is to support the development of exceptional early career Canadian innovators in global health who have the potential to be world leaders in global health.**

## 2. Approach

### 2.1 PROGRAM SCOPE

We seek **proposals for innovative solutions** that could be easily implemented in developing countries to improve global health. We seek **ideas that are bold and creative**, and that have the potential to make a substantial impact on a global health problem. The proposed innovations would need to:

1. Have a strong likelihood of achieving substantial and measurable health gains in an under-resourced setting
2. Maximize delivery, uptake, acceptability, sustainability, and impact by integrating scientific/technological, social and business innovation. We call this “Integrated Innovation”. (See Integrated Innovation white paper available at <http://www.grandchallenges.ca/integrated-innovation/>)
3. Initiate and/or build upon partnerships between a Canadian organization and organizations in low- and middle-income countries.

Desirable attributes include:

- Approaches that can be used effectively to improve health in communities with no or only basic healthcare infrastructure/personnel.
- Solutions that can be easily assimilated into cultural practices and existing health delivery mechanisms
- Extreme affordability
- Approaches that reflect an understanding of the target user market and addresses the needs of this user
- Solutions that include a solid business plan to drive market penetration and uptake in poor countries

We will *not* consider funding for solutions that are not applicable to a low-resource, developing world setting.

### 2.2 SIZE AND LENGTH OF GRANTS

Up to \$20 million CAD is available for this program. Awards are initially valued at \$100,000 CAD for up to 12 months to demonstrate proof-of-principle of the idea. Assuming a sufficient number of proposals of merit, up to 20 awards of \$100,000 CAD will be granted in this third round of funding. We initially intend to fund a total of three rounds of proof-of-principle awards.

Upon application and successful review at 12 months after the initial award is granted, scale-up grants of up to \$1 million CAD for two years may be awarded. A minimum of 30% of the scale-up budget must be spent in the low- and middle-income country. We

expect only a small portion of projects to demonstrate sufficient success to be competitive for scale-up funding. Simply achieving proof-of-concept will not automatically lead to scale-up funding. Up to 14 scale-up awards may be granted over the three rounds of competition.

### 3. Activities and Deliverables

The principal investigators funded under this effort will be expected to engage in the activities and provide the specific deliverables listed below, which will help to demonstrate project progress and success:

- Progress reporting, including periodic conversations via teleconference and written reports upon request
- Dissemination of knowledge through publications in peer-reviewed literature, etc.
- A final report that captures a clear assessment of the potential impact of the project - instructions for reporting will be provided to successful grant recipients. This report will also identify social, cultural and commercialization barriers to implementation, and an initial plan for delivering the intervention at scale that addresses these barriers.

In addition to engaging in investigative activities related to their proposed project, applicants will be expected to:

- Where appropriate, actively participate in meeting(s) that bring together grantees to share learning and best practices
- Commit to Grand Challenges Canada's Global Access and Ethics policies (see <http://www.grandchallenges.ca/grand-challenges/gc1-stars/canadasrisingstars/>)
- Participate in public engagement activities.

## 4. Rules and Guidelines

The Canadian Institutes of Health Research oversees the peer review process, and the Grand Challenges Canada Board of Directors makes the final funding decisions.

### 4.1 ELIGIBILITY CRITERIA

This program is intended to fund early career-stage innovators.

Applicants must:

- Be no more than 10 years from having completed a PhD, a health professional degree (MD, RN, ND, Chiropractor), DVM, LLB, LLM, MBA (executive and online MBAs are excluded) or MPH at the time of taking up the award; the 10 years can be counted from the completion of a Master's degree that follows a health professional degree; MDs must be no more than 10 years from having completed residency training at the time of application. All relevant degrees must be completed at the time of taking up the award
- Be affiliated with a Canadian non-profit organization, for-profit company, or other recognized institution capable of supporting the proposed activities and administering grants
- Have a co-investigator in a low- or middle-income country (see more details below)
- Have the support of a mentor, who will provide guidance and facilitate career development.
- Be willing to engage the public on their project and, more generally, on global health topics

#### 4.1.1 CO-INVESTIGATOR REQUIREMENTS

The co-investigator must be affiliated with an institution located in a low- or middle-income country capable of supporting the proposed research activities, and be willing to engage the public on their project and broader global health topics.

Existing and new collaborations are equally encouraged, particularly those that will bring additional components of Integrated Innovation to your project (scientific/technological, social and business innovation).

### 4.2 APPLICATION INSTRUCTIONS

The full proposals will consist of submission of a brief written proposal and applicant *curriculum vitae* (CV), in addition to a two-minute video explaining the target global health problem, the proposed solution, and why it is a creative, bold, and innovative approach. You can submit your video in either English or French, or both. The video must not exceed two minutes; **any videos that are longer than two minutes will be truncated at the two-minute mark.**

*Please note the applicants are responsible for notifying their affiliated organization/institution(s) upon application to the Canada's Rising Stars in Global Health program.*

#### **4.3 REVIEW PROCESS**

A review committee, overseen by the Canadian Institutes of Health Research will recommend to the Grand Challenges Canada Board of Directors up to 20 applications for funding. The Board of Directors will make the final funding decision.

#### **4.4 APPLICATION SCHEDULE**

Please see [grandchallenges.ca](http://grandchallenges.ca) for application guidelines and submission instructions. Completed proposals must be submitted by 11:59 p.m. Eastern time on March 23, 2012.

#### **4.5 EVALUATION CRITERIA**

##### **1. Investigator Potential**

Does the applicant demonstrate the potential to be a world leader in global health? Scientific contributions to date, linkages with resource-limited countries and evidence of excellence in scholastic activities will be used as metrics of career potential. Are the proposed activities appropriate to the training and experience level of the principal applicant (and collaborators)? Does the applicant have the support of a mentor who is capable of facilitating career development in global health research?

##### **2. Global Health Impact**

Is the proposal likely to have a significant impact on global health? Does the proposal address a concern of priority of resource-poor countries? Are the proposed activities likely to have a positive impact on the health of the proposed target population? Does the proposal clearly explain the pathway to impact, including how it will save lives or reduce disability?

##### **3. Integrated Innovation**

Does the proposed solution integrate scientific/technological, social and business innovation? Is the approach truly novel and a departure from incremental or evolutionary improvements over current approaches?

##### **4. Technical Merit/Execution Plan**

Is the proposed concept and approach based on sound scientific analysis and technical rigour? Is the proposed proof of concept appropriate, feasible and technically sound? Are feasible activities set out for the 12-month timeline of this grant? Does the approach represent an efficient use of resources? Does the environment in which the work will be performed contribute to the probability of success? Does the approach take advantage of unique opportunities, including partnerships with industry?

**5. Collaboration**

Does the proposed project include the collaboration of an investigator from a low- or middle-income country? Is the value added by the inclusion of co-investigator(s) in the project clearly justified?

**6. Public Engagement**

Is the applicant able to present their approach to the public in an engaging manner? Does the applicant state their willingness to engage the public on global health issues and their research in an ongoing and creative manner?

**7. Boldness and Creativity**

Is the proposed idea innovative and bold? Is it outside the box? Does it have the potential to leap-frog conventional approaches? Can the innovation and delivery mechanisms be readily implemented in developing countries to improve global health? Is the proposal creative enough to have the potential to tackle the problem from a different angle to make a substantial impact on a global health problem?

#### **4.6 ALLOWABLE COSTS**

Grant funds may be used for the following cost categories: personnel (salary support is an allowable cost), necessary travel, supplies, contracted services, sub-grants, consultants and equipment (partial or full support for equipment may be requested). Please provide budget estimates according to the categories above.

Please note, Grand Challenges Canada will provide a limited amount of indirect costs based on the nature of the applicant organization, to a maximum of 13% of direct costs of the Grantee's administered grant value. This amount will be in addition to the \$100,000 CAD of direct costs (i.e. total value of the grant will be  $\$100,000 + 13\% = \$113,000$  CAD).

Also note that proposals with thoughtful and efficient use of resources will be preferred over proposals representing comparable efforts that do not have the same value for the investment. In some circumstances (e.g., rapidly changing technologies), subcontracting specific project activities to an outside institution with the infrastructure and expertise to deliver results may be considered advantageous over establishing in-house capacity.

#### **4.7 PRIVACY NOTICE**

To help us in the evaluation and analysis of projects, all proposals, documents, communications and associated materials submitted to the Grand Challenges Canada (collectively, "Submission Materials") will become the property of Grand Challenges Canada and will be shared with other members of the Grand Challenges Canada consortium (the International Development Research Centre and Canadian Institutes of Health Research). We will report publicly on the number of letters of intent received and the countries from which they originated.

The proposals will be subject to confidential external review by independent subject matter experts and potential co-funders, in addition to analysis by our staff. Please carefully consider the information included in the Submission Materials. If you have any doubts about the wisdom of disclosure of confidential or proprietary information, we recommend you consult with your legal counsel and take any steps you deem necessary to protect your intellectual property. You may wish to consider whether such information is critical for evaluating the submission, and whether more general, non-confidential information may be adequate as an alternative for these purposes.

We respect confidential information we receive. Nonetheless, notwithstanding your characterization of any information as being confidential, we may publicly disclose all information contained in Submission Materials to the extent as may be required by law and as is necessary for potential co-funders and external reviewers, such as government entities, to evaluate them and the manner and scope of potential funding consistent with appropriate regulations and their internal guidelines and policies.

#### **4.8 WARRANTY**

By providing any Submission Materials, the sender warrants Grand Challenges Canada that they have the right to provide the information submitted. Applicants with questions concerning the contents of their Submission Materials may contact Grand Challenges Canada at: [stars@grandchallenges.ca](mailto:stars@grandchallenges.ca).

#### **4.9 INTELLECTUAL PROPERTY**

The outputs of this program may lead to innovative solutions such as technologies, services and/or products to promote global health in developing countries. The successful development and deployment of these outputs may require involvement and support of the private sector, and may also involve collaborations with multiple organizations, including academic and/or non-profit research institutions. Accordingly, it is the intent of this program to support the formation of appropriate public-private partnerships that are essential to meet these urgent global health needs. Intellectual property rights and the management of intellectual property rights that developed may play an important role in achieving the goals of this program. Grand Challenges Canada's Global Access Policy will guide our approach to intellectual property, and we urge all applicants, even at the letter of intent stage, to consider their willingness to submit a full proposal in compliance with the Grand Challenges Canada Global Access Policy, the guiding principles of which are as follows:

1. Breakthrough solutions to global challenges are made accessible to those in need, particularly in the developing world. Accessibility relates to both price and availability.
2. Knowledge gained through discovery is broadly, and as promptly as possible, distributed between related projects and to the global scientific community.

3. Commercialization of resulting outputs is encouraged, as long as the first two principles are achieved.

Grantees will be required to commit to Grand Challenges Canada's Global Access Policy for all outputs relating to this program. For further information, please refer to Grand Challenges Canada's Global Access Policy at <http://www.grandchallenges.ca/grand-challenges/gc1-stars/canadasrisingstars/>.

## 5. Research Assurances

It is the policy of Grand Challenges Canada that research involving human subjects, research with animals, and research subject to additional regulatory requirements must be conducted in accordance with the highest internationally recognized ethical standards. In order to receive funds from Grand Challenges Canada, initially and throughout the course of a research project, researchers must affirm and document compliance with the guiding ethical principles and standards outlined below.

1. Research involving human participants must be conducted in a manner that demonstrates, protects and preserves respect for persons, concern for the welfare of individuals, families and communities, and justice.<sup>1</sup>
2. Research involving animals must be conducted in a manner that ensures their humane care and treatment.
3. Certain research endeavours, including but not limited to research with recombinant DNA, biohazards and genetically modified organisms, may be subject to enhanced regulation and oversight.

While not necessary for the letter of intent, and as applicable to the individual project, Grand Challenges Canada will require that for each venue in which any part of the project is conducted (either by your organization or a subgrantee or subcontractor), all legal and regulatory approvals for the activities being conducted will be obtained in advance of commencing the regulated activity. We will further require you to agree that no funds will be expended to enrol human subjects until the necessary regulatory and ethical bodies' approvals are obtained. For further details, please see the Grand Challenges Canada Ethics policy at <http://www.grandchallenges.ca/grand-challenges/gc1-stars/canadasrisingstars/>.

Questions about the Canada's Rising Stars in Global Health program or the application process should be addressed to [stars@grandchallenges.ca](mailto:stars@grandchallenges.ca). Responses to frequently asked questions will be posted on our website [grandchallenges.ca](http://www.grandchallenges.ca) periodically.

---

<sup>1</sup> Modified from the core principles articulated in the draft second edition of TCPS, (<http://www.pre.ethics.gc.ca/eng/policy-politique/initiatives/tcps2-eptc2/chapter1-chapitre1/#toc01-1b>)